

02/01/02

1059 U.S. PTO

Please type a plus sign (+) inside this box



Approved for use on page 1 of 1 (PMB 9/5/99/32)
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. PZ042P1C1
 First Inventor Dr. Craig A. Rosen et al.
 Title 25 HUMAN SECRETED PROTEINS
 Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- 1 ☒ Fee Transmittal Form (e.g., PTO/SB-17)
(Submit in original and duplicate for fee processing)
- 2 ☐ Applicant claims small entity status
 See 37 CFR 1.27
- 3 ☒ Specification [Total Pages **446**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
 or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- 4 ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
- 5 Oath or Declaration [Total Pages]
 a. ☐ Newly executed (original or copy)
 b. ☒ Copy from prior application No. 09/781,417 filed
 February 13, 2001 (37 CFR 1.63(d))
 i. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting
 inventor(s) named in the prior application,
 see 37 CFR 1.63(d)(2) and 1.33(b).
- 6 ☒ Application Data Sheet. See 37 CFR 1.76

Box Patent Application
 ADDRESS TO: Commissioner for Patents
 Washington, DC 20231

- 7 ☐ CD-ROM or CD-R in duplicate, large table or
 Computer Program (Appendix)
 8 Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 a. ☐ Computer Readable Form (CRF)
 b. Specification Sequence Listing on
 i. ☐ CD-ROM or CD-R (2 copies), or ii. ☒ Paper (58 pp.)
 c. ☒ Statements verifying identity of above copies (Request Under
 CFR 1.821(e))

ACCOMPANYING APPLICATIONS PARTS

- 9 ☒ Copy of Assignment Papers from prior application No.
 09/781,417 filed Feb 13, 2001 (cover sheet & document(s))
- 10 ☐ 37 CFR 3.73(b) Statement ☐ Power of
when there is an assignee Attorney
- 11 ☐ English Translation Document (if applicable)
- 12 ☐ Information Disclosure ☐ Copies of IDS
 Statement (IDS)/PTO-1449 Citations
- 13 ☒ Preliminary Amendment
- 14 ☒ 2 Return Receipt Postcards (MPEP 503) (Should be
 specifically itemized): 1 for early notification of Serial No.
 Certified Copy of Priority Document(s)
if foreign priority is claimed
- 15 ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).
 Applicant must attach form PTO/SB/35 or its equivalent.
- 17 ☒ Other **Version With Markings to Show Changes
 Made**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application
 Data Sheet under 37 CFR 1.76

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/781,417

Prior application information: Examiner David Steadman Group / Art Unit: 1652

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
 under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
 reference.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

22195

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Janet M. Martineau

Registration No. (Attorney/Agent)

46,903

Signature

Date

February 01, 2002

J1059 U.S. PEO

FEE TRANSMITTAL

for FY 2002

(Substitute form)

Patent fees are subject to annual revision.

Complete if Known

Application Number	Unassigned
Filing Date	February 01, 2002
First Named Inventor	Rosen et al.
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket Number	PZ042P1C1

Total amount of payment:

\$1064.00

METHOD OF PAYMENT

- 1 ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number **08-3425**

Deposit Account Name **Human Genome Sciences, Inc.**

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

- 2 ☐ Payment Enclosed:
☐ Check ☐ Credit Card ☐ Money Order ☐ Other*

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity
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Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	\$740.000
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)	\$740.00
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2. EXTRA CLAIM FEES

			Extra Claims	Fee from below	Fee Paid
Total Claims	24	-20	4	\$18.00	\$72.00
Independent Claims	6	-3	3	\$84.00	\$252.00
Multiple Dependent				\$280.00	

Multiple Dependent

Large Entity		Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
108	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	\$324.00
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* or number previously paid, if greater. For Reissues see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES


Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
106	1.0	206	65		Surcharge - late filing fee or oath	
127	5.0	227	25		Surcharge - late provisional filing fee or cover sheet	
139	1.0	139	130		Non-English specification	
142	1,250	242	640		Utility issue fee or renewal	
195	300	195	300		Publication fee for early-, voluntary-, or normal publication	
179	740	279	370		Request for Continued Examination (RCE)	
098	1.0	098	150		Processing fee, except in provisional applications	
126	150	126	150		Submission of Information Disclosure Statement	
123	50	123	50		Processing fee for provisional applications	
146	740	246	370		Filing a submission after final rejection (37 C.F.R. 1.129(a))	
149	740	249	370		For each additional invention to be examined (37 C.F.R. 1.129(b))	
145	100	145	100		Certificate of correction	
147	2,570	147	2,570		Request for <i>ex parte</i> reexamination	
099	8,800	099	8,800		Request for <i>inter parte</i> reexamination	
148	110	248	55		Statutory disclaimer	
115	1.0	215	55		Extension for reply within first month	
116	400	216	200		Extension for reply within second month	
117	970	217	460		Extension for reply within third month	
118	1,440	218	720		Extension for reply within fourth month	
128	1,960	228	980		Extension for reply within fifth month	
119	370	219	160		Notice of appeal	
120	370	220	160		Filing a brief in support of an appeal	
121	250	221	140		Request for oral hearing	
122	1.0	122	1.0		Petition to the Commissioner	
140	110	240	75		Petition to revive unavoluntarily abandoned application	
141	1,250	241	640		Petition to revive unintentionally abandoned application	
091	1,250	091	1,250		Acceptance of an unintentionally delayed claim for priority	
561	3	561	3		Printed copy of patent (regular service)	
581	40	481	40		Recording each patent segment per property (includes number of properties)	
Other fee (specify):						
Other fee (specify):						
Other fee (specify):						

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	\$0.00
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Submitted By

Complete (if applicable)

Name (Print Type) Janet M. Martineau	Registration No.: 46,903	Telephone 301 315-2723
Signature 		Date: February 01, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on P 10-2038.

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